

Portfolio Strategies Guide

RISK-BASED

Managed Equity

An all equity strategy that focuses on capital appreciation. The strategy employs a diversified mix of equity securities that seek to provide relative outperformance to equity market indices.

100%
MANAGED
EQUITY



CLIENT INVESTMENT OBJECTIVES

44 Primary Objective
4 Secondary Objective

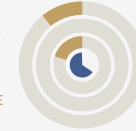
44 Growth

★ All Appreciation

A diverse set of investment strategies designed to generate long-term capital growth predominately through exposure to equity holdings. Defensive equity and alternative asset holdings are also included in the portfolio to help reduce overall portfolio drawdown in volatile markets.

65%
MANAGED
EQUITY

20%
DEFENSIVE
EQUITY



15%
ALTERNATIVES

44 Growth

4 Tactical

Growth & Income

A diverse set of investment strategies designed for long-term growth of capital. Strategies include the use of equity, fixed income and tactical investments to help reduce portfolio volatility.

55%
MANAGED
EQUITY

20%
DEFENSIVE
EQUITY



25%
YIELD
PRODUCERS

44 Growth

4 Income

4 Tactical

★ All Weather

A diverse set of investment strategies designed to generate a risk-conscious, long-term growth of capital while reducing drawdown exposure for capital preservation. Strategies include the use of equity, fixed income and alternative asset holdings, with several strategies that utilize risk-management techniques to help reduce overall portfolio drawdown.

40%
MANAGED
EQUITY

20%
DEFENSIVE
EQUITY



25%
YIELD
PRODUCERS

15%
ALTERNATIVES

44 Growth

4 Income

4 Tactical

4 Capital Preservation

★ All Income

A diverse set of investment strategies designed to generate a moderate, risk-conscious growth of capital with preference to higher current income. Strategies include the use of fixed income, equity and alternative asset holdings that target an above-average current yield with several strategies that utilize risk-management techniques to help reduce overall portfolio drawdown.

25%
MANAGED
EQUITY

20%
DEFENSIVE
EQUITY



40%
YIELD
PRODUCERS

15%
ALTERNATIVES

44 Income

4 Growth

4 Tactical

4 Capital Preservation

★ All Risk-Hedged

A diverse set of investment strategies designed to generate a risk-conscious preservation of capital with a secondary objective towards tactical growth & income. Strategies include the use of fixed income, equity and alternative asset holdings that target low cumulative equity risk exposure and a higher mix of alternative investments.

15%
MANAGED
EQUITY

15%
DEFENSIVE
EQUITY



45%
YIELD
PRODUCERS

25%
ALTERNATIVES

44 Capital Preservation

4 Income

4 Tactical

INCOME-FOCUSED

Dividend Aristocrats Portfolio

An all equity strategy that invests in individual companies with track records of recurrent and prospective dividend growth seeking to provide a consistent, rising income stream over the investment horizon. The strategy predominately invests in companies that have paid and raised dividends for 25+ straight years.

100%
MANAGED
EQUITY



44 Income

4 Growth

Moderate Pure Income

A portfolio of strategies designed to generate a moderately aggressive income stream. The portfolio utilizes a mixture of equity income and fixed income strategies that generate high income distributions to maximize short-term income needs.

50%
MANAGED
EQUITY



50%
YIELD
PRODUCERS

44 Income

Conservative Pure Income

A portfolio of strategies designed to generate a more risk-conscious income stream. The portfolio utilizes a mixture of equity income and fixed income strategies that generate high income distributions to maximize short-term income needs.

30%
MANAGED
EQUITY



70%
YIELD
PRODUCERS

44 Income

4 Capital Preservation

★ Core Smarter Portfolio

Managed Equity strategies include investing in stocks and equity fund investments.

Defensive Equity strategies include equity investments with defensive mechanisms such as tactical market exposure with downside protection or long-short strategies that attempt to reduce portfolio volatility.

Yield Producing strategies invest in income-oriented investments such as bonds and bond funds with lower correlation to equity markets.

Alternative strategies diversify from traditional asset classes, such as equities and fixed income into investments such as managed futures and market-neutral strategies to dampen portfolio volatility.

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